UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/731,899	12/08/2000	Benjamin Chain	20555/1203433-US1	1183
7278 DARBY & DA	7590 02/12/2007 RBY P.C.		EXAMINER	
P. O. BOX 5257			SWARTZ, RODNEY P	
NEW YORK, I	NY 10150-5257		ART UNIT PAPER NUMBER	
			1645	
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MO	NTHS	02/12/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)	
	09/731,899	CHAIN, BENJAMIN	
Office Action Summary	Examiner	Art Unit	
	Rodney P. Swartz, Ph.D.	1645	
The MAILING DATE of this communication a	ppears on the cover sheet wit	h the correspondence address	:
Period for Reply	LV 10 057 TO EVDIDE 0 MG	NITH ((0) OD THIDTY (00) D	.V.C
A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory perior.  - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the main earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNIC I.136(a). In no event, however, may a re d will apply and will expire SIX (6) MONT ate, cause the application to become ABA	ATION. ply be timely filed  HS from the mailing date of this communication  NDONED (35 U.S.C. § 133).	
Status			
1)⊠ Responsive to communication(s) filed on <u>5D</u>	<u>ec2006</u> .		
2a)⊠ This action is <b>FINAL</b> . 2b)☐ Th	is action is non-final.		
3) Since this application is in condition for allow	ance except for formal matte	rs, prosecution as to the meri	its is
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D.	11, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1-29</u> is/are pending in the application	ın. ·		
4a) Of the above claim(s) <u>13-20</u> is/are withdra			
5)☐ Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>21-29</u> is/are rejected.			•
7) Claim(s) <u>1-12,21,26 and 27</u> is/are objected to	Э.		
8)⊠ Claim(s) <u>1-29</u> are subject to restriction and/o	r election requirement.	•	
Application Papers			
9)☐ The specification is objected to by the Exami	ner.		
10) The drawing(s) filed on is/are: a) a		y the Examiner.	
Applicant may not request that any objection to the	e drawing(s) be held in abeyand	ce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the corre	ection is required if the drawing(s	s) is objected to. See 37 CFR 1.1	21(d).
11)☐ The oath or declaration is objected to by the □	Examiner. Note the attached	Office Action or form PTO-15	2.
Priority under 35 U.S.C. § 119			
12)☐ Acknowledgment is made of a claim for forei	an priority under 35 U.S.C. §	119(a)-(d) or (f).	
a) ☐ All b) ☐ Some * c) ☐ None of:	, p	( . , ( . , , . ,	
1. Certified copies of the priority docume	nts have been received.		٠
2. Certified copies of the priority docume	nts have been received in Ap	plication No	
3. Copies of the certified copies of the pr		received in this National Stage	9
application from the International Bure  * See the attached detailed Office action for a li	• •	eceived :	
See the attached detailed Office action for a fix	st of the certified copies flot i	cocived.	
Attachment(s)	🗀	(DTO 440)	
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)		ımmary (PTO-413) /Mail Date	
3) Information Disclosure Statement(s) (PTO/SB/08)	5) D Notice of Inf	ormal Patent Application	
Paper No(s)/Mail Date  U.S. Patent and Trademark Office	6)	<u>-</u>	
	Action Summary	Part of Paper No./Mail Date (	)20707

Page 2

Application/Control Number: 09/731,899

Art Unit: 1645

### **DETAILED ACTION**

1. Applicant's Response to Office Action, received 5 December 2006, is acknowledged. Claims 1, 2, 3, and 7 have been amended. New claims 21-29 have been added.

- 2. Claims 1-29 are pending. Claims 13-20 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention.
- 3. Claims 1-12 and 21-29 are under consideration.

## **Rejections Withdrawn**

- 4. The rejection of claims 1-12 under 35 U.S.C. 112, second paragraph, as being indefinite for "derived" is withdrawn in light of the amendment of the claims.
- 5. The rejection of claim 3 under 35 U.S.C. 112, second paragraph, as being indefinite for "mixture of SEQ ID Nos.", is withdrawn in light of the amendment of the claim.

# **Rejections/Objections Necessitated by Amendment**

### **Claim Objections**

- 6. Newly amended claim 1 is objected to because of the following informality: amended on line 10, "proteloytic" should be "proteolytic". Appropriate correction is required.
- 7. Newly added claims 21 and 26 are objected to because of the following informality: the claims are now calling a T helper cell epitope as "Th", while original claims 1 and 6 designate the same entity as " $T_h$ ". Appropriate correction is required.
- 8. Newly added claim 27 is objected to because of the following informality: the names of the bacteria should be in italics to be consistent with original claim 7. Appropriate correction is required.
- 9. Claims 2-12 are objected to because they depend from an objected claim 1. Appropriate correction is required.

Application/Control Number: 09/731,899

Art Unit: 1645

## Claim Rejections - 35 USC § 112

10. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

11. Newly added claims 21-29 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 21 recites a cleavage product which is "derived formed" by proteolytic cleavage.

The metes and bounds of such a "derivation" is unclear because the specification does not define the term. Claims 22-29 depend from the claim, but do not clarify the indefiniteness.

#### Conclusion

- 12. No claims are allowed.
- 13. Applicant's amendment necessitated the new ground(s) of rejection/objection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a)

Application/Control Number: 09/731,899 Page 4

Art Unit: 1645

will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

14. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Rodney P. Swartz, Ph.D., Art Unit 1645, whose telephone number is (571) 272-0865. The examiner can normally be reached on Monday through Thursday from 9:00 AM to 7:30 PM EST.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Jeffrey Siew, can be reached on (571)272-0787.

The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

RODNEY P SWARTZ, PH.D PRIMARY EXAMINER Art Unit 1645

February 7, 2007